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## Ad Hoc Networks

journal homepage: [www.elsevier.com/locate/adhoc](http://www.elsevier.com/locate/adhoc)Position based routing in crowd sensing vehicular networks<sup>☆</sup>

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## ARTICLE INFO

## Article history:

Received 19 November 2014

Revised 5 June 2015

Accepted 8 June 2015

Available online xxx

## Keywords:

Crowd sensing vehicular network

Position based routing

VANET

IEEE 802.11p

## ABSTRACT

Using vehicles as sensors allows to collect high amount of information on large areas without the need to deploy extensive infrastructures. Although cellular technologies are presently the only solution to upload data from vehicles to control centers, in the next future short range wireless technologies could be used to offload part of this data traffic through vehicle to vehicle and vehicle to roadside communications. In such scenario, the greedy forwarding (GF) position based routing is an interesting algorithm to efficiently route packets from vehicles to the destination. However, GF suffers from the well known problem of local minima, which causes part of the packets to remain blocked in certain areas of the scenario. To deal with this issue, we propose two novel routing algorithms, specifically designed for crowd sensing vehicular networks (CSVNs): GF with available relays (GFAVR), fully distributed and independent of the scenario, and GF with virtual roadside units (GFVIR), exploiting a preliminary design phase where local minima are located. Through extensive simulations performed in different realistic urban scenarios, results demonstrate that both algorithms allow to improve data delivery by 10–40%, with negligible overhead and limited increase of complexity.

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## 1. Introduction

Short range vehicular communications will enable in the next years the paradigm of connected vehicles. In August 2014, the National Highway Traffic Safety Administration (NHTSA), one of the main USA agencies in the field of transportation, issues an Advance Notice to proceed with standardization of vehicle to vehicle communication for light vehicles [2] and similar decisions will probably be taken by institutions of other Countries. It is thus expected that new vehicles will be soon equipped with wireless short range communication systems such as the wireless access in vehicular environment/IEEE 802.11p technology [3].

Even if this technology is primarily foreseen for safety purposes, other applications could take benefit from its

deployment and the consequent creation of vehicular ad hoc networks (VANETs). In particular, short range multi-hop communications could be used to offload cellular networks, that are challenging an increasing bandwidth request; crowd sensing vehicular network (CSVN) applications are among the main specific applications where cellular offloading could be performed effectively [4]. Crowd sensing is an emerging paradigm that takes advantage of pervasive mobile devices (such as smartphones or in vehicle sensors) to efficiently collect data, enabling numerous large scale applications [5,6]. Focusing on vehicular scenarios, some million vehicles are today equipped with on board unit (OBUs) that periodically collect information from various sensors to be sent to a remote control center. Presently, they are used for insurance purposes and traffic estimations, but other applications have been proposed, like urban environment surveillance [7] or widespread pollution measurements [8]. For the moment, only cellular networks are used to upload data from the OBUs, with high costs in terms of billing and a large impact on cellular resource usage [9]. However, in the near future, short range road side units (RSUs) are expected to be

<sup>☆</sup> This work was supported in part by the CNR project ICT for smart mobility (ICT4SM). Parts of this work were presented in [1].

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36 deployed in cities and highways to help collecting data from  
37 the vehicles.

38 Dealing with the use of short range technologies in CSVNs,  
39 the main issues to maximize the performed offloading are  
40 surely the RSU placement and the design of routing proto-  
41 cols [10–13]. As clarified in the further, even if several rout-  
42 ing algorithms have been proposed for VANETs, in most cases  
43 they do not deal with the peculiarities of CSVNs or they are  
44 too complex for a large scale implementation. One protocol  
45 which represents a simple yet effective solution for CSVN is  
46 greedy forwarding (GF), which foresees that each OBU selects  
47 as next hop the neighboring OBU which maximally reduces  
48 the distance from the nearest RSU [14]. This protocol, how-  
49 ever, suffers from the well known problem of local minima  
50 (or local optima), that causes packets to be collected in spe-  
51 cific areas of the road network and never delivered to the  
52 RSUs [15,16]. This effect, the implications of which are further  
53 described in the paper, can be reduced by optimally placing  
54 RSU, as suggested for example in [10,11]. However, first this  
55 approach faces the constraints on site availability, which is not  
56 always guaranteed, and second it cannot eliminate the prob-  
57 lem in all scenarios.

58 To deal with the local minima problem, even in the pres-  
59 ence of non-optimal RSU placement, we propose two novel  
60 routing algorithms that are specifically designed for CSVNs.  
61 The first algorithm, denoted GF with available relays (GFAVR),  
62 is fully distributed, and foresees that each vehicle estimates  
63 its own positioning in a local minimum. The second algo-  
64 rithm, denoted GF with virtual RSUs (GFVIR), exploits a pre-  
65 liminary design phase where local minima are estimated and  
66 alternative routes are identified.

67 The effectiveness of both algorithms is shown through exten-  
68 sive simulations performed in two urban scenarios, charac-  
69 terized by different sizes and different vehicle densities:  
70 the city of Bologna (Italy) and the city of Cologne (Germany).

71 The paper is organized as follows: the related work is  
72 discussed in Section 2. In Section 3, the system model and  
73 the addressed problem are defined. Section 4 focuses on GF  
74 and the problem of local minima. The two proposed algo-  
75 rithms, GFAVR and GFVIR, are then detailed in Sections 5 and  
76 6, respectively. The assumptions made and the simulation  
77 settings are shown in Section 7 and results are provided in  
78 Section 8. Finally, our conclusion is given in Section 9.

## 79 2. Crowd sensing vehicular networks and related work

80 Due to the wide diffusion of consumer devices with sens-  
81 ing abilities, such as smartphones and media players, their  
82 use to obtain large scale information from the environment  
83 (crowd sensing) has recently drawn considerable interest  
84 from researchers and industries [5,6].

85 This paradigm has been also investigated in the vehicu-  
86 lar scenario adopting several other names, including vehic-  
87 ular sensor networks (VSNs) (e.g., in [17]), probe vehicles  
88 (e.g., in [18]), or floating car data (FCD) (e.g., in [19]). An in-  
89 teresting survey on this topic can be found in [20]. Among  
90 the example applications that have been envisioned we can  
91 cite the improvement of urban environment surveillance [7],  
92 the provision of large scale pollution measurements [8], the  
93 alerting of upcoming vehicles when an accident is observed  
94 [21], and the enabling of traffic monitoring [22]. Besides

possible applications, many other aspects have been inves-  
95 tigated, like the data management at the control center [23]  
96 and the aggregation of messages among neighbor vehicles  
97 to reduce the amount of information sent to the control  
98 center [24].

99 CSVNs can be seen as the intersection of wireless sensor  
100 network (WSNs) and VANETs; their peculiarities are [25]:

- 101 • nodes collect information to be delivered to a control cen-  
102 ter (like in WSNs);
- 103 • the high mobility makes the node density and the net-  
104 work topology changing frequently (like in VANETs).  
105

106 To collect the information from OBUs, CSVNs can rely  
107 on either cellular networks or short range communications.  
108 In the latter case, the overall architecture must be com-  
109 pleted with the placement of RSUs, connected to the con-  
110 trol center, and one of the main challenging aspects is the  
111 definition of the routing protocol that allows data to reach  
112 these RSUs. Several routing protocols have been proposed  
113 for VANETs in the last years, including those described in  
114 [12,13,26]. Some of the proposed algorithms, including as an  
115 example CAR [27], are reactive, i.e., they search for a path  
116 towards a destination only when a packet to that destina-  
117 tion is enqueued. This approach is normally preferable in  
118 slowly variable ad hoc networks, since it minimizes the sig-  
119 naling overhead; however, the main drawbacks are that i) it  
120 needs a search phase to define the route, which might be a  
121 problem in the quickly variable vehicular scenario, and ii)  
122 it suffers from scalability problems in large networks [28].  
123 For these reasons, and based on the possibility to send pe-  
124 riodic messages for safety purposes (denoted as beacon-  
125 ing in the further), most protocols are proactive, i.e., they  
126 continuously update a table towards the possible destina-  
127 tions, independently from the presence of packets to that  
128 destination in the transmission queue. Examples are greedy  
129 perimeter stateless routing (GPSR) [15] and Greedy Perimeter  
130 Coordinator Routing (GPCR) [16]. Some protocols, such as  
131 EPIDEMIC [29] or SPRAY&WAIT [30], also foresee the use of  
132 multiple copies. Allowing multiple copies of a packet, how-  
133 ever, has the drawback that no OBU carrying one of the copies  
134 knows whether the other copies have been already delivered  
135 or not, increasing, in general, the network load. Finally, sev-  
136 eral algorithms rely on additional and detailed (thus costly)  
137 information that must be carried by OBUs, such as road maps  
138 (e.g., GeoSVR [31]), traffic signal schedule (e.g., ROAMER  
139 [32]), information on buses and their routes (e.g., SKVR  
140 [33]), or the routes that are daily traveled by vehicles (e.g.,  
141 PER [34]).

142 Although most protocols designed for vehicular networks  
143 can be also applied to CSVNs, only a few proposals have  
144 been explicitly designed for a CSVN scenario, characterized  
145 by the fact that the position of the destination (one of the  
146 RSUs) is fixed and known by vehicles [25]. To this regard,  
147 an algorithm that perfectly suites to this scenario is the  
148 GF, which also has other useful properties, as detailed in  
149 Section 3. Unfortunately, the presence of local minima tends  
150 to decrease the performance of such algorithm [15,16], as  
151 deepened in Section 4. To overcome this important limita-  
152 tion, we propose and investigate the performance of the two  
153 novel routing protocols that are explicitly designed for CSVN  
154 scenarios.

### 3. System model and problem definition

*Definitions:* Hereafter, we use vehicle-to-cellular (V2C) to denote communications involving the cellular connection of an OBU, vehicle-to-roadside (V2R) to denote communications between an OBU and an RSU, and vehicle-to-vehicle (V2V) to denote communications between OBUs.

*Application:* Although various applications could be considered, we focus as an example case to the collection of information for insurance purposes. We thus assume the following:

- data cannot be modified; thus filtering or aggregation (such as in [24]) cannot be performed during the delivery phase;
- data management and long term storage are left to the remote control center;
- each packet must be delivered to the control center; thus packets that do not reach an RSU must be sent using V2C.

Although other applications might have less stringent requirements, relaxing the first or the second one would only reduce the amount of data to be delivered to the control center and would not limit the validity of the routing protocol comparison we provide. Relaxing the third would cause localized loss of data (as also demonstrated in Section 8), which is undesirable for any CSVN application.

*On board units:* We assume that all vehicles are equipped with an OBU that periodically collects data from sensors to be delivered to a remote control center. All OBUs are assumed equipped with a positioning system such as the global positioning system (GPS), a cellular technology, and the short range wireless technology detailed in the further. RSUs, equipped with the same short range technology, are deployed to collect packets from vehicles and forward them to the control center through a high speed link.

To maximally offload cellular networks, OBUs will use V2R anytime they are connected to an RSU. Otherwise, a routing algorithm is adopted to find the best route towards an RSU through multiple V2V hops. In particular, the routing algorithm is in charge to find the next relay among the neighbor nodes. Neighbor nodes are those nodes to which the OBU is connected; they are known thanks to a beaconing service, that is, through messages that are periodically broadcasted by all OBUs to advertise their position, direction, and other metrics used for safety purposes.

To avoid packet losses, whenever the number of packets inside the transmission buffer of an OBU reaches a given threshold, the OBU sends part of them through V2C. We also assume a maximum tolerated delay for the message delivery. In particular, each message carries a timestamp of the instant of generation, focusing on the oldest message in the queue, when the difference between the current and the generation time exceeds a given threshold, all messages in the queue are sent through V2C.

*Routing:* Concerning the routing algorithm, the peculiar aspects of CSVNs are: (i) the transmissions are performed from the OBUs to the RSUs, and (ii) mobility makes the topology frequently changing.

As already discussed, data loss is not acceptable, thus only unicast transmissions with MAC level acknowledgments are possible, and only single copy routing is considered. Under

such conditions, proactive routing tends to be preferred for the reasons detailed in Section 2 and the use of maps, with the related updating issues and costs, is avoided.

These guidelines, discussed more in deep in [25], exclude most of widely considered routing algorithms for VANETs. For example, CAR [27] is not suitable since it is reactive, GSR [35] because it requires maps on board, and SPRAY&WAIT [30] due to the use of multiple copies.

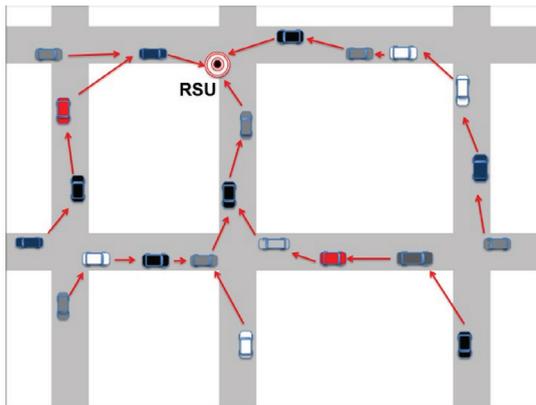
Among those that respect all the listed requirements, a simple yet effective solution is GF.

### 4. Greedy forwarding and the local minima in crowd sensing vehicular networks

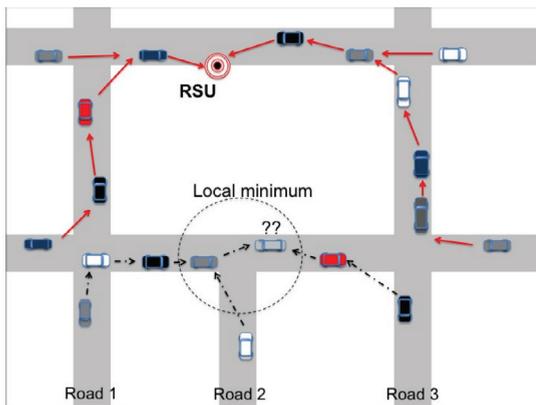
GF works as follows. Each OBU knows its own position by the positioning system, the position of its neighbors thanks to the beaconing service, and the position of RSU provided by a location service. Although the location service definition is out of the scope of the present work, an example could be the provision of a small database to be occasionally updated with new deployments or other changes, on a periodical basis; a wider discussion of location services can be found for example in [36,37]. With this information, each OBU that is not directly connected to an RSU first selects the nearest RSU as the destination, then chooses as next relay the neighbor that maximally reduces the distance to that RSU. As long as there are no neighbors closer to the destination, the packets are stored and carried. An example of GF behavior is shown in Fig. 1(a).

GF suffers, however, from the local minima problem: if the source node is nearer to the addressed destination than all its neighbors (and the destination is out of the node's coverage), then the destination cannot be reached and the node is said to be in a local minimum. In vehicular scenarios this event occurs when the road layout is characterized by the presence of an area that is closer to the RSU of interest than all accessible areas in its proximity. This event is clarified through the two examples shown in Fig. 1(b) and (c), where the RSU is deployed in a position that causes a local minimum. With reference to Fig. 1(b), data generated by OBUs on Roads 1, 2, and 3 tend to be routed toward the local minimum region; the same happens in Fig. 1(c) for data generated by OBUs on Roads 4, 5, 6, and 7. The vehicle movements will only cause a modification of which OBUs are in the local minimum, continuously collecting data from the neighborhood without any possibility to reach the RSU.

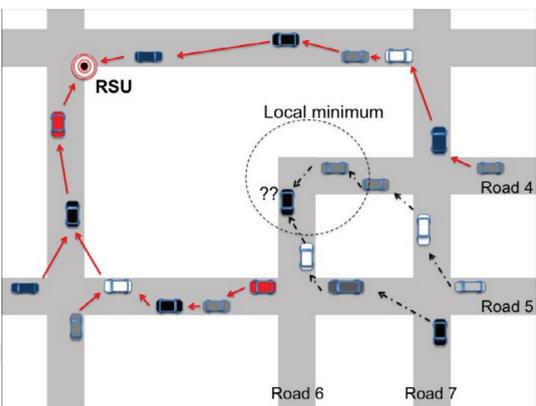
Previous work tried to react to local minima through a procedure denoted recovery strategy, which is invoked anytime an OBU has no next hop towards the destination; the most cited algorithms providing a recovery strategy are GPSR [15] and GPCR [16]. However, GPSR has not been designed for high mobility scenarios and often fails in VANETs, with a significant increase of the number of transmissions and without a higher delivery rate [28]. GPCR improves GPSR by introducing the concept of junction nodes (i.e., OBUs that are positioned at junctions), but it is still problematic in real urban scenarios, mainly for two reasons [38]: first, the identification of a junction has high failure probability in GPCR; second, often the use of nodes at junctions is not needed or even counterproductive, since most junctions are not in Since in CSVNs destinations are fixed and delay is tolerated,



(a) Greedy forwarding.



(b) Local minima. Example 1.



(c) Local minima. Example 2.

**Fig. 1.** Greedy forwarding and local minima. Red solid arrows are used for the connections that will finally reach the RSU. Black dash-dotted arrows are used for the connections that bring to a local minimum area. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

273 instead of implementing a recovery strategy, either traffic  
274 flows could be forced through directions that avoid the  
275 local minima or OBUs could store and carry packets when  
276 they are located inside local minima. Based on these consid-  
277 erations, two routing protocols are hereafter proposed, one  
278 fully distributed and the other based on a shared database.

Whereas the former scheme is simpler, the latter provides  
279 better results in most cases, at the cost of a preliminary  
280 phase performed offline and customized to the specific  
281 scenario.  
282

## 5. Distributed approach: greedy forwarding with available relays

The first proposed algorithm, GFAVR, does not require any  
285 preliminary phase and is fully distributed. Each OBU acts au-  
286 tonomously, based on the local information. If the OBU is not  
287 covered by an RSU and does not have a neighbor available  
288 as next relay, the algorithm estimates if the vehicle is in a  
289 local minimum (as detailed in the further). If the algorithm  
290 assumes it is in a local minimum, the OBU broadcasts its own  
291 unavailability to act as a relay and neighbors avoid to con-  
292 sider it as a possible next relay (Table 1).  
293

### 5.1. Relay availability

Each OBU is assumed to be relay available when it is lo-  
295 cated out of a local minimum. More specifically, denoting  
296 with  $\Theta_k$  the generic OBU, the relay availability is defined as  
297 follows.  
298

**Definition 1 GFAVR relay availability.**  $\Theta_k$  is (GFAVR) relay  
299 available if any of the following conditions is fulfilled:  
300

- 301 1. it is directly covered by an RSU;
- 302 2. it has a next relay selected towards the nearest RSU;
- 303 3. all its neighbors are aligned on the same road and  $\Theta_k$  is  
304 located in one of the two extremities.

An OBU which is not relay available is said relay  
305 unavailable.  
306

The first two conditions state that if an OBU can identify a  
307 next hop (either RSU or another OBU) for its stored messages,  
308 it is surely out of a local minimum area. The third condition  
309 in the definition is required to avoid that a vehicle marks it-  
310 self as unavailable only because it does not have any neigh-  
311 bor in the direction of the RSU. In particular, the third one  
312 is added in the case the OBU cannot select a next hop node,  
313 and allows to distinguish between the following (opposite)  
314 situations:  
315

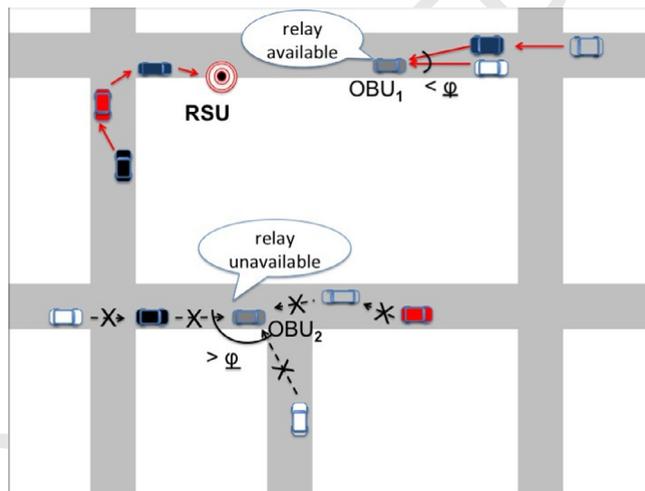
(1) The OBU either has no neighbor or all its neighbors  
316 are on the same road, although all located in the opposite di-  
317 rection with respect to the addressed RSU; this latter case is  
318 represented by OBU<sub>1</sub> in Fig. 2. This condition does not nec-  
319 essarily lead to a local minimum and the OBU is considered  
320 relay available.  
321

(2) The OBU has neighbors located in different directions,  
322 but none of these directions lead to the addressed RSU; this  
323 is the case of OBU<sub>2</sub> in Fig. 2. Under such condition, there is  
324 no way to get closer to the RSU and the OBU is probably in a  
325 local minimum. Under such condition, the OBU is considered  
326 as relay unavailable.  
327

To determine whether all neighbors are on the same road  
328 and in the same direction or not, the generic OBU  $\Theta_k$  exploits  
329 the (known) coordinates of the neighbors. Firstly, it excludes  
330 from the evaluations those neighbors that are too close, i.e.  
331 that are distant less than a given threshold  $d$ ; the rationale  
332 is that a vehicle on a different lane might otherwise be er-  
333 roneously placed on a different road segment. Secondly, it  
334

**Table 1**  
Notations used in the GFAVR and GFVIR descriptions.

Used in	Symbol	Meaning
Both algorithms	$\Theta_k$	Generic OBU $k$
	$\mathcal{N}_{\Theta_k}$	Set of neighbors of OBU $k$
	$R_{\Theta_k}$	Nearest RSU to OBU $k$
	$T_B$	Time interval between two beacon generations
GFAVR	$\mathcal{N}_{\Theta_k}^s$	Set of neighbors that are relay available and closer than $\Theta_k$ to $R_{\Theta_k}$
	$\underline{d}$	Minimum distance for the angle check
	$\mathcal{N}_{\Theta_k}^f$	Set of neighbors of OBU $k$ farther than $\underline{d}$
	$\alpha_{max}$	Largest angle that neighbors of OBU $k$ , taken two by two, form using OBU $k$ as vertex
GFVIR	$\varphi$	Maximum angle to consider all neighbors in the same direction
	$V_j^{(A)}$	Generic AVRSU $j$
	$V_j^{(S)}$	Generic SVRSU $j$
	$\rho_{V_j^{(A)}}$	Exclusion distance of AVRSU $j$
	$\rho_{V_j^{(S)}}$	Exclusion distance of SVRSU $j$
	$\mathcal{V}_x^{(A)}$	Set of AVRSUs referred to RSU $R_x$
	$\mathcal{V}_x^{(S)}$	Set of SVRSUs referred to RSU $R_x$



**Fig. 2.** GFAVR. Examples of relay availability. Black dashed arrows with a black 'x' represent transmissions that are not performed due to relay unavailability.

335 checks the convex angle that the remaining neighbors cre-  
 336 ate two by two, using  $\Theta_k$  as the vertex, and compare them to  
 337 a given threshold  $\varphi$ . If two neighbors form an angle which is  
 338 larger than the threshold  $\varphi$ ,  $\Theta_k$  assumes there are neighbors  
 339 located on different directions (see, for example, OBU<sub>2</sub> and  
 340 its neighbors in Fig. 2).

341 The two described steps can be formalized as follows.  
 342 Denoting with  $d(A, B)$  the distance between  $A$  and  $B$ , with  
 343  $\angle(A, B, C)$  the convex angle created by the two segments  $\overline{AB}$   
 344 and  $\overline{BC}$ , and with  $\mathcal{N}_{\Theta_k}$  the set of neighbors of OBU  $\Theta_k$ , the  
 345 first step is the evaluation of set  $\mathcal{N}_{\Theta_k}^f$ , as follows:

$$\mathcal{N}_{\Theta_k}^f = \{N_i \in \mathcal{N}_{\Theta_k} : d(N_i, \Theta_k) > \underline{d}\}. \quad (1)$$

346  $\mathcal{N}_{\Theta_k}^f$  excludes those neighbors that might be simply on dif-  
 347 ferent lanes. The second step is to evaluate the largest angle,  
 348 as follows:

$$\alpha_{max} = \max\{\angle(N_i, \Theta_k, N_j) \forall N_i, N_j \in \mathcal{N}_{\Theta_k}^f\}. \quad (2)$$

349 Finally, the OBU is relay available if  $\alpha_{max} < \varphi$ ; in such  
 350 case, in fact, the OBU assumes not being in a local mini-  
 351 mum, but simply having no next hop due to low vehicular  
 352 density.

353 Note that, when an OBU is relay unavailable, it can-  
 354 not be selected as next relay by neighbors; the nearest  
 355 neighbors will then be unable to find a suitable next re-  
 356 lay and become, in turn, relay unavailable. Thus, the relay  
 357 unavailability will propagate to the neighboring vehicles un-  
 358 til junctions are reached (or unconnected OBUs are present).  
 359 Therefore, the propagation is confined in a limited area  
 360 around the local minimum and does not propagate in other  
 361 parts of the scenario.

362 An example of relay availability and relay unavailability  
 363 is shown in Fig. 2. OBU<sub>1</sub> has two neighbors with an angle  
 364 smaller than the threshold; it means that all neighbors are in  
 365 the same direction and OBU<sub>1</sub> marks itself as relay available.  
 366 On the opposite, OBU<sub>2</sub> has neighbors with an angle higher  
 367 than the threshold, meaning that it is placed in a local mini-  
 368 mum; OBU<sub>2</sub> marks itself as relay unavailable, and this will

propagate to its neighbors. In our implementation,  $\underline{d} = 20$  m and  $\varphi = \pi/8$  are used, according to the average road width in the considered scenarios.

### 5.2. The GFAVR protocol

Each OBU sends the relay availability in a single bit added to the beacon frame, every  $T_B$ , assumed the same for all OBUs for simplicity.

Each OBU  $\Theta_k$  which is not covered by an RSU performs the following algorithm to select the next hop.

1.  $\Theta_k$  finds the nearest (in the Euclidean sense) RSU  $R_{\Theta_k}$ ;
2.  $\Theta_k$  defines the set  $\mathcal{N}_{\Theta_k}^*$  of the neighbor OBUs that are relay available AND closer than  $\Theta_k$  to  $R_{\Theta_k}$ ;
3. If  $\mathcal{N}_{\Theta_k}^*$  is empty, then no OBU is selected as next relay by  $\Theta_k$ . Otherwise,  $\Theta_k$  selects as next relay the OBU in  $\mathcal{N}_{\Theta_k}^*$  which is the closest to  $R_{\Theta_k}$ .

To follow possible variations in the topology, in our implementation we assume all vehicles repeat the algorithm before sending their beacon frame, which occurs every  $T_B$  seconds.

A pseudo code description of the algorithm is shown in Algorithm 1.

### 5.3. Complexity of GFAVR

Compared to GF, the GFAVR protocol implies the addition of a single bit in the beacon messages and a very small increase of complexity in the routing protocol performed by each OBU. More specifically, with  $\Theta_k$  denoting the generic OBU,  $\mathcal{N}_{\Theta_k}$  the set of neighbors of  $\Theta_k$ , and  $\#\mathcal{X}$  the cardinality of set  $\mathcal{X}$ , the following additional elements are required.

- One signaling bit is added in each beacon message sent by  $\Theta_k$  to advertise if  $\Theta_k$  is relay available or not.
- Periodically, while  $\Theta_k$  is selecting the next hop, it must also check the relay availability for those neighbors that are nearer than  $\Theta_k$  to the addressed RSU (at most  $\#\mathcal{N}_{\Theta_k}$  more checks of a boolean variable).
- Periodically, if  $\Theta_k$  does not have any available next hop, it must check its own relay availability. In such case, lines 30–35 of Algorithm 1 must be executed ( $\#\mathcal{N}_{\Theta_k}$  comparisons for the first step detailed in Section 5.1 and at most  $(\#\mathcal{N}_{\Theta_k}) \cdot (\#\mathcal{N}_{\Theta_k} - 1)$  comparisons for the second step detailed in the same section).

Given the capabilities of today devices, the complexity increase compared to GF can be considered negligible.

## 6. Centralized approach: greedy forwarding with virtual RSUs

The second proposed algorithm, GFVIR, has a preliminary centralized design phase, to be performed before the OBUs start using the routing algorithm. During the preliminary design phase, the position of local minima is identified and alternative paths are found. The hereafter defined (AVRSUs) and stopping virtual roadside units (SVRSUs) are then conveniently positioned per each (real) RSU and this information

### Algorithm 1 GF with available relays.

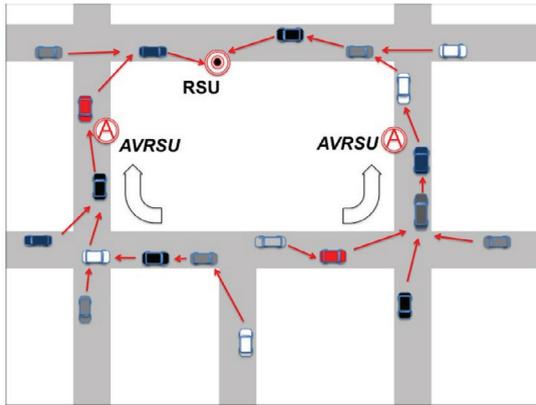
```

1: procedure BY OBU  $\Theta_k$ 
2:
3:  $\mathcal{R}$ : set of RSUs
4:  $R_{\Theta_k}$ : nearest RSU to OBU  $\Theta_k$ 
5:  $\mathcal{N}_{\Theta_k}$ : set of neighbors of OBU  $\Theta_k$ 
6:  $H_{\Theta_k}$ : next hop for OBU  $\Theta_k$ 
7:  $\omega_X$ : relay availability of OBU  $X$ 
8:  $T_B$ : beacon interval
9:
10: Every  $T_B$ :
11:
12:   // Reset the relay availability
13:    $\omega_{\Theta_k} := \text{true}$ 
14:
15:   // The nearest RSU is selected
16:    $R_{\Theta_k} := \text{argmin}_{R_r \in \mathcal{R}} \{d(\Theta_k, R_r)\}$ 
17:
18:   // Check if the nearest RSU provides coverage
19:   if  $\Theta_k$  is connected to  $R_{\Theta_k}$  then
20:      $H_{\Theta_k} := R_{\Theta_k}$ 
21:   else
22:     // The next hop is searched among neighbors
23:      $H_{\Theta_k} := \text{null}$  // Reset the next hop
24:      $d_{\min} := d(\Theta_k, R_{\Theta_k})$  // Reset the min. distance
25:     for all  $N_w \in \mathcal{N}_{\Theta_k}$  :  $\omega_{N_w} = \text{true}$  do
26:       if  $d(N_w, R_{\Theta_k}) < d_{\min}$  then
27:          $H_{\Theta_k} := N_w$ 
28:          $d_{\min} := d(N_w, R_{\Theta_k})$ 
29:
30:     // Relay availability is checked if no next hop
31:     if  $H_{\Theta_k} = \text{null}$  then
32:       for all  $N_x \in \mathcal{N}_{\Theta_k}$  :  $d(N_x, \Theta_k) > \underline{d}$  do
33:         for all  $N_y \in \mathcal{N}_{\Theta_k} - \{N_x\}$  :  $d(N_y, \Theta_k) > \underline{d}$  do
34:           if  $\angle(N_x, \Theta_k, N_y) > \varphi$  then
35:              $\omega_{\Theta_k} := \text{false}$ 
36:             break
37:
38:     // If  $H_{\Theta_k} \neq \text{null}$  the next hop is addressed
39:     if  $H_{\Theta_k} \neq \text{null}$  then
40:       Transmit data to  $H_{\Theta_k}$  in the service channel
41:     else
42:       Store and carry data
43:
44:   // In any case, send the beacon
45:   Send beacon with  $\omega_{\Theta_k}$  in the control channel

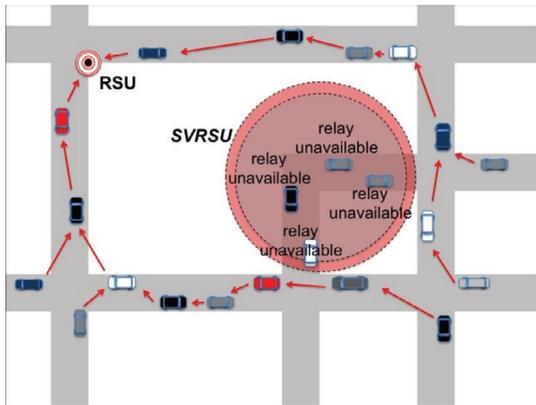
```

is provided to the OBUs. These virtual RSUs will participate to the routing process as detailed in the following; even if they are characterized by position and range, they are not real RSUs and do not imply any deployment with related costs. The addition of AVRSUs and SVRSUs only consists in new entries in the RSU database, managed by the location service (see Section 4).

A suitable choice of AVRSU and SVRSU positions helps the OBUs to avoid local minima. The role of attractive and stopping virtual RSUs will be better described in Section 6.1.



(a) Example of AVRSUs.



(b) Example of SVRSU.

**Fig. 3.** GFVIR. Examples of AVRSU and SVRSU deployment and use. Red solid arrows are used for the connections that will finally reach the RSU. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

### 432 6.1. Attractive virtual road side units

433 Most local minima, like the one shown in Fig. 1(b), can be  
434 avoided forcing data flows along desired paths. To this aim,  
435 AVRSUs are placed in suitable positions to attract the traffic  
436 flows: OBUs will address them instead of the real RSU until  
437 the AVRSU proximity is reached. Then, the local minimum is  
438 overtaken and the real RSU can be addressed. As an example,  
439 in Fig. 3(a) the local minimum is avoided by opportunistically  
440 placing two AVRSUs.

441 More specifically, in GFVIR the location service provides  
442 per each real RSU a list of AVRSUs. The generic AVRSU,  $V_j^{(A)}$ ,  
443 is characterized by three parameters: (1) its position, (2) the  
444 reference real RSU, and (3) an exclusion distance,  $\rho_{V_j^{(A)}}$ . De-  
445 noting with  $\Theta_k$  the generic OBU, with  $R_{\Theta_k}$  the nearest RSU  
446 to  $\Theta_k$ , and with  $\mathcal{V}_{\Theta_k}^{(A)}$  the set of AVRSUs belonging to  $R_{\Theta_k}$ , the  
447 following definition holds.

448 **Definition 2 AVRSU availability.** An AVRSU,  $V_j^{(A)} \in \mathcal{V}_{\Theta_k}^{(A)}$ , is  
449 said to be available for  $\Theta_k$  toward RSU  $R_{\Theta_k}$  if:

- 450 1.  $V_j^{(A)} - R_{\Theta_k}$  distance is less than  $\Theta_k - R_{\Theta_k}$  distance (other-  
451 wise  $V_j^{(A)}$  deviates data farther from the real  $R_{\Theta_k}$ );
- 452 2.  $V_j^{(A)} - \Theta_k$  distance is less than  $\Theta_k - R_{\Theta_k}$  distance (other-  
453 wise  $V_j^{(A)}$  is farther from  $\Theta_k$  than  $R_{\Theta_k}$ );
- 454 3.  $V_j^{(A)} - \Theta_k$  distance is larger than the exclusion distance  
455  $\rho_{V_j^{(A)}}$  (the AVRSU is useful only if the OBU is far enough).

456 The available AVRSUs will be used by the OBUs as detailed in  
457 Section 6.3.

### 6.2. Stopping virtual road side units

459 In some cases, traffic flows cannot be simply deviated  
460 from local minima through AVRSUs. Observing the Exam-  
461 ple 2 shown in Fig. 1(c), no AVRSU can be effectively placed  
462 nearer to the RSU than the highlighted local minimum area.  
463 In such cases, SVRSUs are used. The generic SVRSU, denoted  
464 by  $V_j^{(S)}$ , is again characterized by three parameters: (1) its  
465 position, (2) the reference real RSU, and (3) an exclusion dis-  
466 tance,  $\rho_{V_j^{(S)}}$ . Whenever an OBU is covered by any of the SVR-  
467 SUs, then the OBU is unavailable to act as relay for its neigh-  
468 bors. Thus, denoting with  $\Theta_k$  the generic OBU, with  $R_{\Theta_k}$  the  
469 nearest RSU to  $\Theta_k$ , and with  $\mathcal{V}_{\Theta_k}^{(S)}$  the set of SVRSUs belong-  
470 ing to  $R_{\Theta_k}$ , the following definition holds.

471 **Definition 3 GFVIR relay unavailability.**  $\Theta_k$  is (GFVIR) relay  
472 unavailability if there is at least one  $V_j^{(S)} \in \mathcal{V}_{\Theta_k}^{(S)}$  :  $d(\Theta_k, V_j^{(S)}) <$   
473  $\rho_{V_j^{(S)}}$ .

474 An OBU which is not relay unavailable is said relay  
475 available.

476 Note that, differently from GFAVR, in this case no adver-  
477 tisement of the relay availability is needed. Each OBU is, in  
478 fact, able to autonomously calculate the relay availability of  
479 all its neighbors.

### 6.3. The GFVIR protocol

481 Each OBU sends a normal beacon frame every  $T_B$ , assumed  
482 the same for all OBUs for simplicity.

483 Each OBU  $\Theta_k$  which is not covered by an RSU performs  
484 the following algorithm to select the next hop.

- 485 1.  $\Theta_k$  finds the nearest (in the Euclidean sense) RSU  $R_{\Theta_k}$ ;
- 486 2.  $\Theta_k$  identifies the set  $\mathcal{V}_{\Theta_k}^{(A)}$  of AVRSUs and the set  $\mathcal{V}_{\Theta_k}^{(S)}$  of  
487 SVRSUs referred to  $R_{\Theta_k}$ ;
- 488 3.  $\Theta_k$  finds the nearest available AVRSU in  $\mathcal{V}_{\Theta_k}^{(A)}$ , if any, and  
489 selects it as addressed RSU; if  $\mathcal{V}_{\Theta_k}^{(A)}$  is empty or none of  
490 the AVRSUs in  $\mathcal{V}_{\Theta_k}^{(A)}$  is available, then  $\Theta_k$  selects  $R_{\Theta_k}$  as  
491 addressed RSU;
- 492 4.  $\Theta_k$  defines the set  $\mathcal{N}_{\Theta_k}^*$  of the neighbor OBUs that are relay  
493 available (i.e., that are not covered by any SVRSU in  
494  $\mathcal{V}_{\Theta_k}^{(S)}$ ) AND closer to the addressed RSU;
- 495 5. If  $\mathcal{N}_{\Theta_k}^*$  is empty, then no next relay is available for  $\Theta_k$ . Oth-  
496 erwise,  $\Theta_k$  assumes as next relay the OBU in  $\mathcal{N}_{\Theta_k}^*$  which  
497 is the closest to  $R_{\Theta_k}$ .

498 To follow possible variations in the topology, in our im-  
499 plementation we assume all vehicles repeat the algorithm  
500 before sending their beacon frame, which occurs every  $T_B$   
501 seconds.

502 A pseudo code description of the algorithm is shown in  
503 [Algorithm 2](#).

#### 504 6.4. Complexity of GFVIR

505 Compared to GF, the GFVIR protocol implies a design  
506 phase to set the AVRSUs and SVRSUs with their parameters,  
507 an increase of the database used by the location service and  
508 the routing protocol to also include the AVRSUs and SVRSUs  
509 and a very small increase of complexity in the routing pro-  
510 tocol performed by each OBU. No modification to the beacon  
511 messages is needed in this case. More specifically, with  $\Theta_k$   
512 denoting the generic OBU,  $R_{\Theta_k}$  the nearest RSU to  $\Theta_k$ ,  $\mathcal{V}_{\Theta_k}^{(A)}$  the  
513 set of AVRSUs referred to  $R_{\Theta_k}$ ,  $\mathcal{V}_{\Theta_k}^{(S)}$  the set of SVRSUs referred  
514 to  $R_{\Theta_k}$ ,  $\mathcal{N}_{\Theta_k}$  the set of neighbors of  $\Theta_k$ , and  $\# \mathcal{X}$  the cardinal-  
515 ity of set  $\mathcal{X}$ , the following additional elements are required.

- 516 • AVRSUs and SVRSUs positions and exclusion distances  
517 must be defined. This operation is needed each time a real  
518 RSU is deployed and it should be repeated in the case of  
519 modifications to the traffic flows (such as if a new road is  
520 added).
- 521 • The RSU database used by the location service and the  
522 routing algorithm also includes the AVRSUs and SVRSUs.
- 523 • Periodically, when  $\Theta_k$  has selected the nearest RSU  $R_{\Theta_k}$ , it  
524 must also check the distance from the AVRSUs referred to  
525  $R_{\Theta_k}$ , throughout lines 27–36 of [Algorithm 2](#) ( $\#\mathcal{V}_{\Theta_k}^{(A)}$  com-  
526 parisons).
- 527 • When  $\Theta_k$  has selected the addressed RSU, it must also  
528 check the relay availability for those neighbors that  
529 are nearer than  $\Theta_k$  to the addressed RSU, throughout  
530 lines 39–51 of [Algorithm 2](#) (at most  $(\#\mathcal{N}_{\Theta_k}) \cdot (\#\mathcal{V}_{\Theta_k}^{(S)})$   
531 comparisons).

532 Also in this case, given the capabilities of today devices,  
533 the complexity increase can be considered negligible.

## 534 7. Simulation tools and settings

535 Results are shown by means of simulations that take into  
536 account the joint effects of vehicular mobility and wireless  
537 communications. More specifically, the simulation platform  
538 for heterogeneous interworking networks (SHINE) [39–41]  
539 was used, which is a wireless network simulator designed  
540 and developed to reproduce the whole network architecture  
541 from the application to the physical layer. Realistic urban ve-  
542 hicular traces are used to reproduce the vehicle positions and  
543 movements.

544 A summary of the main input and output figures is given  
545 in [Table 2](#). Hereafter, all the settings and observed outputs  
546 will be detailed.

### 547 7.1. WAVE/IEEE 802.11p simulations

548 OBUs are equipped with the WAVE technology [3]; WAVE  
549 defines, through the IEEE 1609 specifications, the commu-  
550 nication system architecture and the complementary set of

### Algorithm 2 GF with virtual RSUs.

```

1: procedure BY OBU  $\Theta_k$ 
2:
3:  $\mathcal{R}$ : set of real RSUs
4:  $R_{\Theta_k}$ : nearest real RSU to OBU  $\Theta_k$ 
5:  $\mathcal{V}_{R_{\Theta_k}}^{(A)}$ : set of AVRSUs referred to real RSU  $R_{\Theta_k}$ 
6:  $\mathcal{V}_{R_{\Theta_k}}^{(S)}$ : set of SVRSUs referred to real RSU  $R_{\Theta_k}$ 
7:  $A_{\Theta_k}$ : addressed RSU for OBU  $\Theta_k$ 
8:  $\mathcal{N}_{\Theta_k}$ : set of neighbors of OBU  $\Theta_k$ 
9:  $H_{\Theta_k}$ : next hop for OBU  $\Theta_k$ 
10:  $\omega_X$ : relay availability of OBU X
11:  $T_B$ : beacon interval
12:
13: Every  $T_B$ :
14:
15:   // Reset relay availability and next hop
16:    $\omega_{\Theta_k} := \text{true}$ 
17:    $H_{\Theta_k} := \text{null}$ 
18:
19:   // The nearest (real) RSU is searched
20:    $R_{\Theta_k} := \text{argmin}_{R_r \in \mathcal{R}} \{d(\Theta_k, R_r)\}$ 
21:
22:   // Check if the nearest RSU provides coverage
23:   if  $\Theta_k$  is connected to  $R_{\Theta_k}$  then
24:      $H_{\Theta_k} := R_{\Theta_k}$ 
25:   else
26:     // The addressed RSU is selected
27:      $A_{\Theta_k} := R_{\Theta_k}$  // Reset the addressed RSU
28:      $d_A := d(\Theta_k, R_{\Theta_k})$  // Reset the min. distance
29:     for all  $V_w^{(A)} \in \mathcal{V}_{R_{\Theta_k}}^{(A)}$  do
30:       if  $d(V_w^{(A)}, R_{\Theta_k}) < d(\Theta_k, R_{\Theta_k})$  then
31:         if  $d(V_w^{(A)}, \Theta_k) < d(\Theta_k, R_{\Theta_k})$  then
32:           if  $d(V_w^{(A)}, \Theta_k) > \rho_{V_w^{(A)}}$  then
33:             //  $V_w^{(A)}$  is available
34:             if  $d(\Theta_k, V_w^{(A)}) < d_A$  then
35:                $A_{\Theta_k} := V_w^{(A)}$ 
36:                $d_A := d(\Theta_k, V_w^{(A)})$ 
37:             //  $A_{\Theta_k}$  is the addressed RSU
38:             // The next hop is searched in  $\mathcal{N}_{\Theta_k}$ 
39:              $d_{\min} := d(\Theta_k, A_{\Theta_k})$  // Reset the min. distance
40:             for all  $N_w \in \mathcal{N}_{\Theta_k}$  do
41:               // Evaluate relay availability
42:                $\omega_{N_w} := \text{true}$ 
43:               for all  $V_y^{(S)} \in \mathcal{V}_{\Theta_k}^{(S)}$  do
44:                 if  $d(\Theta_k, V_y^{(S)}) < \rho_{V_y^{(S)}}$  then
45:                    $\omega_{N_w} := \text{false}$  // Relay unavailable
46:                   break
47:               // Proceed only if  $N_w$  is relay available
48:               if  $\omega_{N_w} = \text{true}$  then
49:                 if  $d(N_w, \Theta_k) < d_{\min}$  then
50:                    $H_{\Theta_k} := N_w$ 
51:                    $d_{\min} := d(N_w, R_{\Theta_k})$ 
52:
53:             // If  $H_{\Theta_k} \neq \text{null}$  the next hop is addressed
54:             if  $H_{\Theta_k} \neq \text{null}$  then
55:               Transmit data to  $H_{\Theta_k}$  in the service channel
56:             else
57:               Store and carry data
58:
59:             // In any case, send the beacon
60:             Send beacon in the control channel

```

**Table 2**

Simulation parameters and output figures. Asterisk (\*) denotes values that are used when not otherwise specified.

<b>Inputs</b>		
Symbol	Meaning	Assumed values
$\mathcal{E}$	Effective radiated power (EIRP)	23 dBm
$P_{rmin}$	Receiver sensitivity	-85 dBm
$G_r$	Antenna gain at the receiver	3 dB
$\gamma_{min}$	Threshold signal to interference plus noise ratio	10 dB
$d_{tx}$	Transmission range in the absence of obstacles and interferers	200 m (*)
$B$	Payload size of MAC frames	100 bytes
$\delta_{OBU}$	Portion of vehicles equipped with the OBU	1 (*)
$T_s$	Period of acquisition from sensors at the OBU	10 s in Bologna 30 s in Cologne
$\lambda$	Data generation rate	$1/T_s$ packets/s
$N_{MAX}$	Buffer size	10,000 (*)
$N_{V2C}$	Packets sent through V2C when $N_{MAX}$ is reached	$0.2 \cdot N_{MAX}$
$T_{out}$	Maximum delivery delay, i.e. time deadline triggering V2C	$\infty$ (*)
<b>Outputs</b>		
Symbol	Meaning	Range
$D_R$	Rate of packets delivered to the RSU	$\in [0, 1]$
$L$	Average delay	$\geq 0$
$N_{hops}$	Average number of hops per generated packet	$\geq 0$

**Table 3**  
Scenarios.

Scenario	Area	Average no. of vehicles
Bologna A ([4,41], normal traffic)	2.88 km <sup>2</sup>	455
Bologna B ([4,41], heavy traffic)		670
Cologne ([43], 7:10-7:20 a.m.)	12.71 km <sup>2</sup>	4280

551 services and interfaces for vehicular scenarios; MAC and  
552 physical layer protocols are described by IEEE 802.11p.

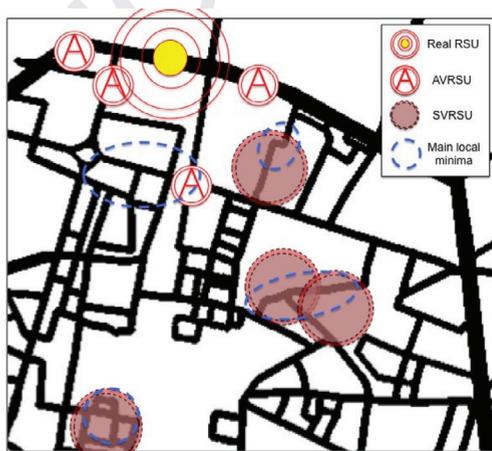
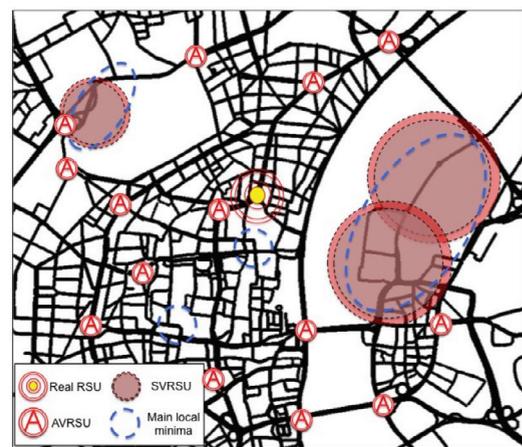
553 As foreseen by the regulations of most Countries, multi-  
554 ple non-overlapping channels of 10 MHz each, transmitted

in the dedicated short range communications (DSRC) band 555  
around 5.9 GHz, are assumed [42]. One of these channels 556  
is reserved for control purposes, where beacons are sent by 557  
both OBUs and RSUs at a frequency of 10 Hz, whereas a paral- 558  
lel service channel is assumed for the CSVN service. 559

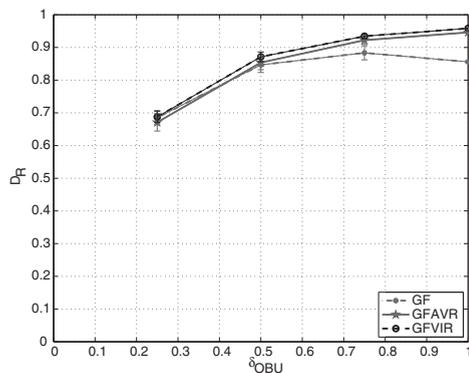
*Medium access control:* The carrier sense multiple access 560  
with collision avoidance (CSMA/CA) MAC procedure foreseen 561  
by IEEE 802.11p is reproduced in details, with the sensing and 562  
random access procedures, with collisions and retransmis- 563  
sions, and also including hidden terminals, exposed termi- 564  
nals, and capture effect. 565

*Channel model:* The following propagation model is 566  
assumed. 567

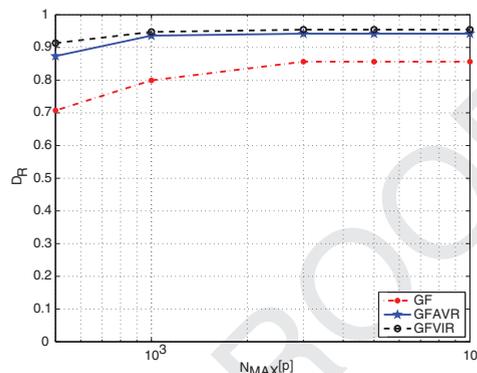
$$PL(d) = PL_0(1) + 10\beta \log_{10}(d) \quad (3)$$

**(a)** Bologna. Area: 1.6 x 1.8 (2.88 km<sup>2</sup>).**(b)** Cologne. Area: 4.1 x 3.1 (12.71 km<sup>2</sup>).

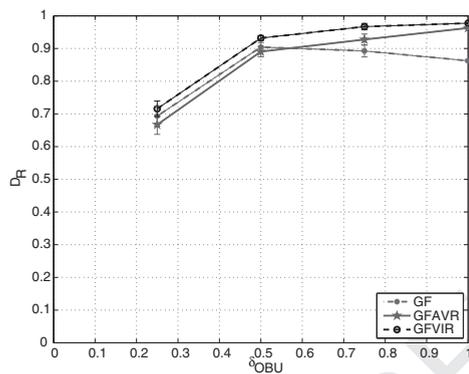
**Fig. 4.** Road layouts, with the placement of the real RSU and the main local minima. The placement of AVRSU and SVRSU used by GFVIR is also shown. The size of symbols follows the real RSU transmission range (when  $d_{tx} = 200$  m) or the AVRSU/SVRSU exclusion distance.



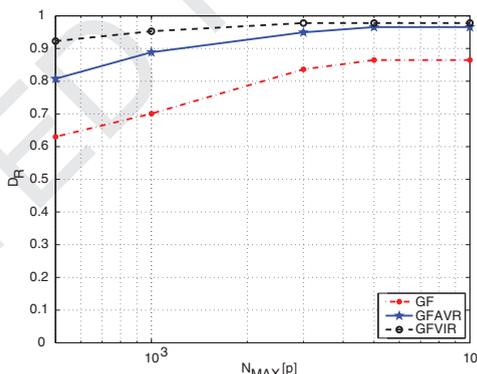
(a) Bologna A.



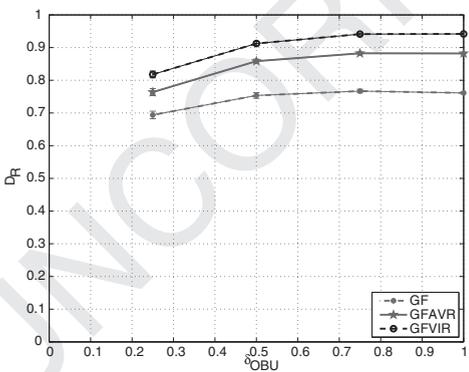
(a) Bologna A.



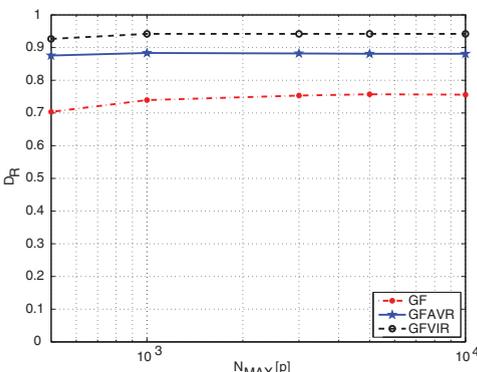
(b) Bologna B.



(b) Bologna B.



(c) Cologne.



(c) Cologne.

Fig. 5. Delivery rate vs. portion of equipped vehicles.

Fig. 6. Delivery rate vs. buffer size.

568 where  $PL_0(1)$  is the free space path loss at 1 m distance,  $\beta$  is  
 569 the path loss exponent, and  $d$  is the distance in meters.

570 A threshold model is then assumed for the packet error  
 571 rate, with a shadowing effect due to buildings: a transmis-  
 572 sion between two devices is possible only if the virtual line  
 573 connecting them do not cross any building and the received  
 574 power  $P_r$  is higher than the receiver sensitivity  $P_{r_{min}}$ ; a transmis-  
 575 sion successfully completes if the average signal to noise  
 576 and interference ratio (SINR) is higher than a threshold  $\gamma_{min}$ ,

otherwise an error (or a collision) occurs. This model is sim-  
 577 ilar to the one adopted in previous works, such as [44] and  
 578 [45], with the addition of the realistic effect of buildings, well  
 579 motivated for example in [46].

580 Defining the maximum transmission range  $d_{tx}$  as the distance  
 581 that corresponds to  $\gamma_{min}$  in the absence of obstacles  
 582 and interference, in the following various values for  $\beta$  (be-  
 583 tween 2.42 and 3.72) will be considered, corresponding to a  
 584 different maximum transmission range  $d_{tx}$  (between 50 and  
 585

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 paper

586 300 m).  $d_{tx} = 200$  m is used when not differently specified,  
587 corresponding to  $\beta = 2.75$ , coherently with measurements  
588 shown in [47].

## 589 7.2. Scenarios and application settings

590 Two cities with realistic vehicular traffic are considered as  
591 case studies: (1) a 2.88 km<sup>2</sup> central portion of the Italian city  
592 of Bologna (as detailed in [4,41]), and (2) a 12.71 km<sup>2</sup> central  
593 portion of the German city of Cologne (a portion of the scenario  
594 described in [43]). Two values for the vehicle density  
595 are considered in the Bologna case, as summarized in Table 3.  
596 In all cases, a portion  $\delta_{OBU}$  of the vehicles is equipped with  
597 the OBU (with  $\delta_{OBU} = 1$  where not differently specified). The  
598 three scenarios have different amounts of vehicles and dif-  
599 ferent distributions; the use as case studies of two cities and  
600 variable densities allows us to prove the general effectiveness  
601 of the proposed protocols.

602 A single RSU is placed in front of the main railway station  
603 in both cities. When GFAVR is considered, 3 AVRSUs  
604 and 4 SVRSUs have been placed in the Bologna scenario,  
605 whereas 14 AVRSUs and 3 SVRSUs have been placed in the  
606 Cologne scenario. The AVRSU and SVRSU placements have  
607 been heuristically optimized, following the position of the  
608 main local minima in both scenarios. The road layouts, the  
609 main local minima, and the real and virtual RSU placements  
610 in Bologna and Cologne are shown in Fig. 4.

611 Concerning the application, all OBUs acquire data from  
612 sensors and generate a new packet of  $B = 100$  bytes every  
613  $T_s$  seconds, that is, with a data generation rate  $\lambda = 1/T_s$  p/s  
614 (we will use p to denote packets for brevity). Packets are  
615 stored in the OBU transmitter queue until the RSU is reached,  
616 a given maximum number of packets  $N_{MAX}$  is buffered, or a  
617 time out is triggered. In particular, the number of packets in  
618 the queue and the timestamp of the oldest packet are periodically  
619 checked. When  $N_{MAX}$  packets are buffered, a portion  
620  $N_{TX} = 0.2 \cdot N_{MAX}$  is sent to the control center through V2C  
621 to avoid data loss. If the oldest packet was generated more than  
622  $T_{out}$  seconds earlier, then all packets are sent through V2C.

## 623 7.3. Output figures

624 The system performance is evaluated in terms of the fol-  
625 lowing metrics:

- 626 •  $D_R$ , which is the ratio of packets delivered to the control  
627 center through the RSU (i.e., using V2V and V2R),

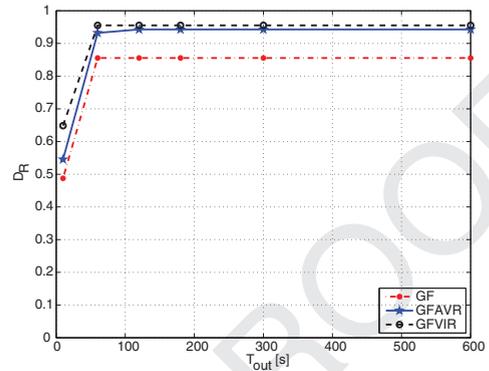
$$628 D_R \triangleq \frac{\varphi_{RSU}}{\varphi_{gen}} \quad (4)$$

629 where  $\varphi_{gen}$  is the overall number of packets generated,  
630 and  $\varphi_{RSU}$  is the number of packets transferred to the RSU  
631 using V2V and V2R communications;

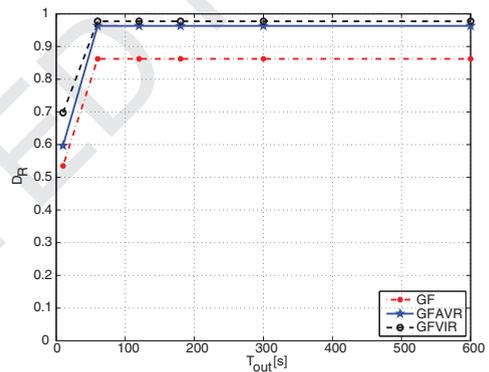
- 631 •  $L$ , which is the average delay of delivered packets;
- 632 •  $N_{hops}$ , which is the average number of hops per packet,

$$633 N_{hops} \triangleq \frac{\varphi_{RSU} + \varphi_{V2V}}{\varphi_{gen}} \quad (5)$$

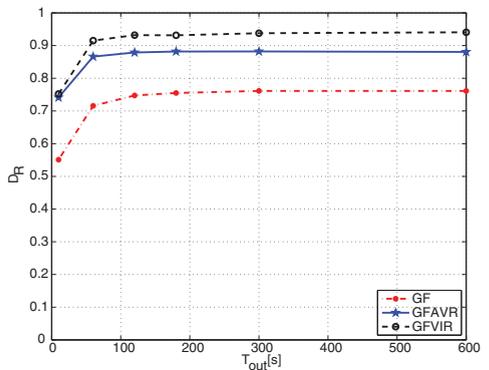
634 where  $\varphi_{V2V}$  is the number of successful V2V transmis-  
635 sions.



(a) Bologna A.



(b) Bologna B.



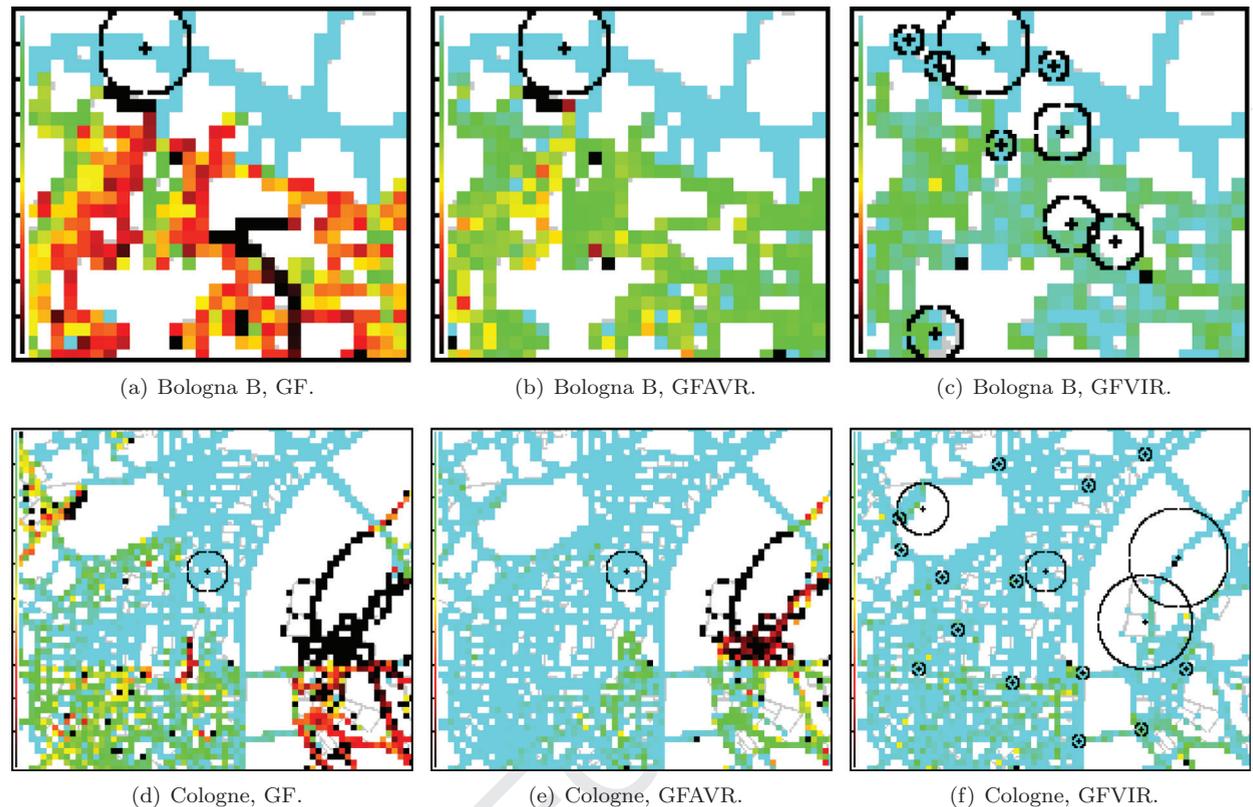
(c) Cologne.

Fig. 7. Delivery rate vs. time out.

## 8. Numerical results

635 The performance of GFAVR and GFVIR is shown through  
636 Figs. 5–11. The 90%  $t$ -based confidence interval is presented  
637 in some curves, whereas in the others it was extremely small  
638 and was removed for the sake of readability.  
639

640 The effectiveness of the proposed algorithms is shown, in  
641 Fig. 5, in terms of  $D_R$  varying  $\delta_{OBU}$ . The first noticeable con-  
642 clusion is that both the proposed algorithms show a higher



**Fig. 8.** Probability that the data generated in each position reached the control center through V2V and V2R (brighter) or through V2C (darker). Results refer to  $d_{max} = 200$  m,  $N_{MAX} = 500$ , and  $T_{out} \rightarrow \infty$ . The real and virtual RSUs are highlighted in black with their transmission range or exclusion distance. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

643 performance compared to GF, for moderate and large per- 644  
 645 centages of vehicles equipped with OBUs. In scenarios with 646  
 647 small node density and small local minimum areas (e.g., 648  
 649 Bologna A with  $\delta_{OBU} \leq 0.5$ ), the three algorithms tend to be- 650  
 651 have similarly. In such case, the network of nodes is sparse 652  
 653 and often nodes have a few neighbors. For this reason, OBUs 654  
 655 that travel in a small local minimum area have high probabili- 656  
 657 ty to store and carry the packets outside that area, and the 658  
 659 local minima problem rarely arises.

660 Results also confirm that the basic GF routing algorithm 661  
 662 provides a good  $D_R$ , with more than 60% packets delivered to 663  
 664 the RSU in all scenarios, even with  $\delta_{OBU} = 0.25$ . Still focusing 665  
 666 on GF, it is also interesting to note that  $D_R$  increases with an 667  
 668 increase of  $\delta_{OBU}$ , thanks to the higher density. Once a max- 669  
 670 imum value is reached, however,  $D_R$  tends to reduce due to 671  
 672 the higher impact of local minima.

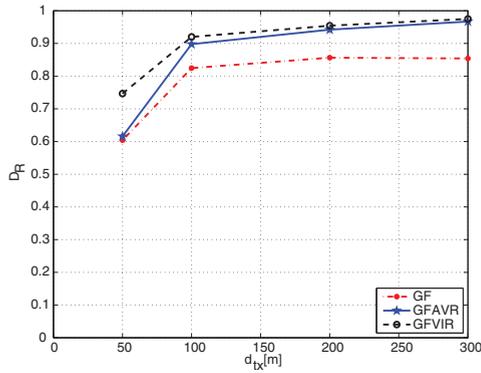
673 Fig. 5 also shows that both GFAVR and GFVIR provide a 674  
 675 relevant improvement in terms of  $D_R$  when  $\delta_{OBU} = 1$ , with 676  
 677 an increase that ranges from 10% to 16% for the former proto- 678  
 679 col, and from 12% to 24% for the latter one, according to the 680  
 681 considered scenarios. As expected, thanks to the preliminary 682  
 683 design phase, GFVIR allows a higher improvement compared 684  
 685 to GFAVR. On the other hand, the design phase of GFVIR is 686  
 687 specific for the addressed scenario, while GFAVR is fully dis- 688  
 689 tributed and independent from the scenario.

690 In Figs. 6 and 7,  $D_R$  is shown varying  $N_{MAX}$  and  $T_{out}$ , respec- 691  
 692 tively. In general, large values of  $N_{MAX}$  or  $T_{out}$  are expected 693

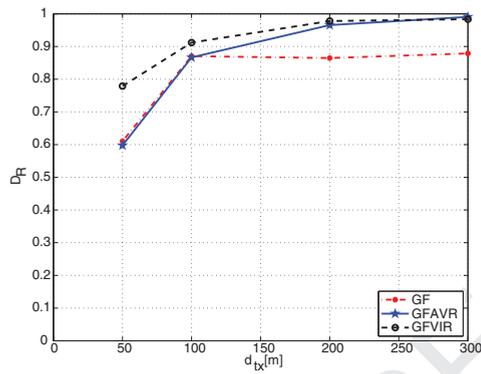
670 to increase the probability that modifications to the topol- 671  
 672 ogy due the vehicle mobility create new paths toward the 673  
 674 RSU. This is indeed observable both in Figs. 6 and 7, where 675  
 676  $D_R$  grows increasing  $N_{MAX}$  or  $T_{out}$ . Note that, however, in all 677  
 678 cases a maximum is reached, and increasing  $N_{MAX}$  to more 679  
 680 than 3000 or  $T_{out}$  to more than 120 s has a negligible impact. 681

682 Focusing on the case with the highest gap in terms of  $D_R$  683  
 684 (i.e.,  $N_{MAX} = 500$  and  $T_{out} \rightarrow \infty$ ), Fig. 8 highlights the effect 685  
 686 of local minima on the distribution of data loss. More specifi- 687  
 688 cally, Fig. 8 shows, for Bologna B and Cologne, the rate of 689  
 690 packets generated in each position of the scenario that are 691  
 692 sent through the RSU instead of through V2C; a lighter color 693  
 694 is used for a higher rate of packets reaching the RSU (light 695  
 696 blue means 100% reach the RSU, black means 100% packets 697  
 698 are sent through V2C). The impact of GF, GFAVR, and GFVIR 699  
 700 is shown in the subfigures. As observable in Fig. 8(a) and 701  
 702 (d), in the case of GF the local minima prevent most pack- 703  
 704 ets generated in some areas to reach the RSU. This effect is 705  
 706 reduced by GFAVR (Fig. 8(b) and (e)) and almost eliminated 707  
 708 by GFVIR (Fig. 8(c) and (f)). Compared to GF, GFAVR leads to 709  
 710 an increase of  $D_R$  of 28% in Bologna B and 24% in Cologne, 711  
 712 whereas GFVIR allows an increase of  $D_R$  of 46% in Bologna B 713  
 714 and 32% in Cologne.

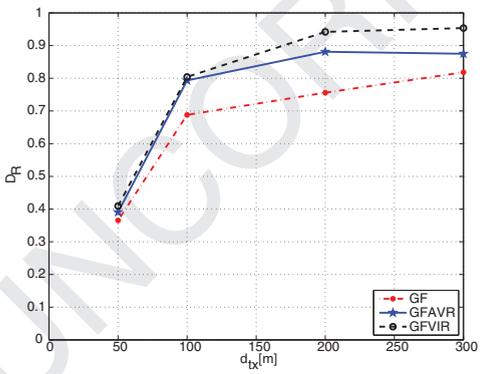
715 The results shown in Fig. 8 also remark the effect of 716  
 717 data loss if no V2C was foreseen. Besides the data loss, 718  
 719 which is a flaw that some applications might tolerate, the 720  
 721 main drawback is that losses are not evenly distributed, but 722



(a) Bologna A.

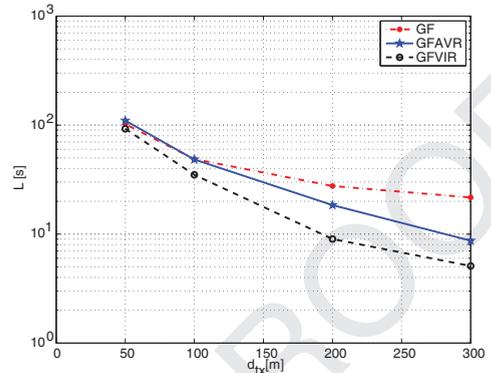


(b) Bologna B.

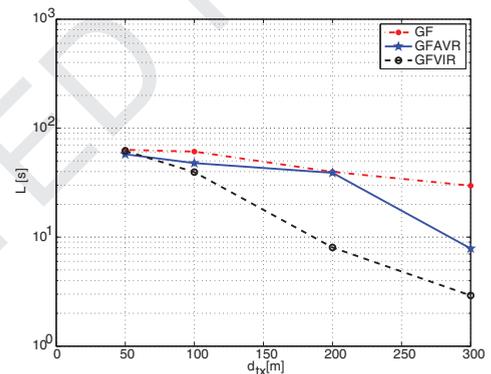


(c) Cologne.

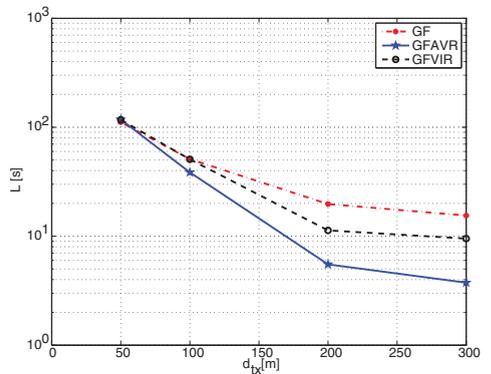
Fig. 9. Delivery rate vs. transmission range.



(a) Bologna A.



(b) Bologna B.



(c) Cologne.

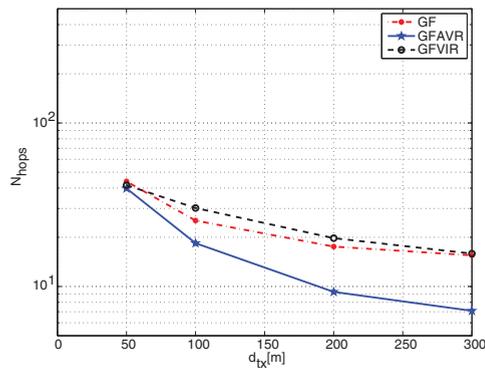
Fig. 10. Average delivery delay vs. transmission range.

697 concentrated in specific areas. Under these conditions, the  
 698 CSVN application would not be able to provide information  
 699 about some specific areas, irrespective to the amount of col-  
 700 lected data.

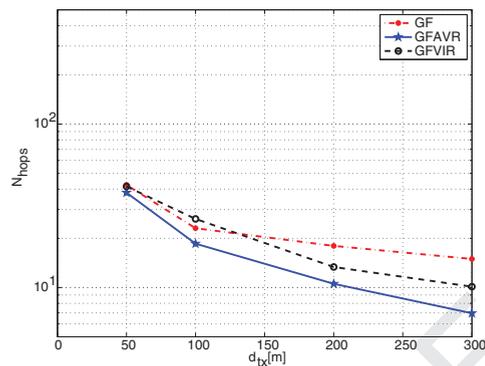
701 Varying  $d_{tx}$ , further results are shown in Figs. 9, 10, and  
 702 11, in terms of  $D_R$ ,  $L$  and  $N_{hops}$ , respectively. Focusing on  
 703 Fig. 9, similar conclusions as those provided can be drawn.  
 704 In this case, a lower effectiveness of GFAVR is observable  
 705 when a small  $d_{tx}$  is assumed. In such case, the OBUs have less

706 neighbors, thus they have less information to correctly deter-  
 707 mine their relay availability.

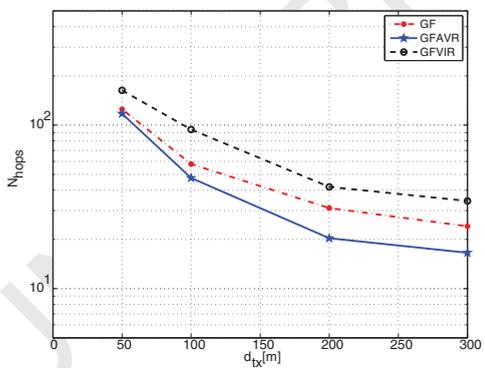
708 The effect on  $L$  is shown in Fig. 10. Also in terms of del-  
 709 ay, GFAVR and GFVIR are shown to outperform GF. Although  
 710 GFVIR makes, in general, packets traveling longer paths toward  
 711 the RSU, and although both algorithms increase the probability  
 712 that packets remain stored on board of OBUs due to the absence  
 713 of a next hop, they still allow lower delay than GF in most cases.  
 714 The longer paths and the holding delay, in



(a) Bologna A.



(b) Bologna B.



(c) Cologne.

**Fig. 11.** Average number of hops vs. transmission range.

fact, are balanced by a lower probability that part of packets are blocked for some time in the local minima.

Finally, results are shown in terms of  $N_{hops}$  in Fig. 11. In this case, several conflicting effects contribute to the results: (1) the use of AVRSUs normally implies longer paths to avoid local minima (in terms of number of hops), thus affecting GFVIR with an higher value of  $N_{hops}$ . (2) In the areas where local minima are located, packets tend to be passed by one vehicle to another, until  $N_{MAX}$  or  $T_{out}$  are reached; this tends

to increase  $N_{hops}$  in GF. (3) A packet, which is sent through the cellular link, also contributes to this metric, sometimes even with a number of hops equal to 0 (if it is directly sent through the cellular link). Looking at the results shown in Fig. 11, GFAVR always provides the lowest  $N_{hops}$ , whereas GF or GFVIR causes the highest value, depending on the scenario. However, note that the number of hops and the average delivery delay are not strictly proportional to each other, as observable comparing the average number of hops  $N_{hops}$  of Fig. 11 with the delivery delay  $L$  of Fig. 10. This is due to the store and carry ability of OBU that impacts on delay and not on the number of hops.

Summarizing the results shown in Figs. 5–11, GFAVR provided up to 28% higher  $D_R$  compared to GF, with a lower average delivery delay and a lower average number of hops. GFVIR provided up to 46% higher  $D_R$  compared to GF, with a lower average delivery delay and similar or slightly higher average number of hops. Both the algorithms tend to provide similar performance than GF if the density of nodes is very low and the local minimum areas are small.

## 9. Conclusion

In this paper, two novel routing protocols, GFAVR and GFVIR, have been proposed to overcome the local minima problem in VANETS, which arises when a GF approach is adopted to address fixed RSUs. The former algorithm is fully distributed, does not need any a priori knowledge of the scenario, and adds a single overhead bit. The latter requires a preliminary design phase to individuate the main local minima and alternative paths in the addressed scenario and it needs an increase of the RSU database, but does not imply any additional signaling overhead. Whereas GFAVR is simpler to implement and independent from the specific scenario, GFVIR provides better performance in most cases. Results obtained through extensive simulations in realistic urban scenarios demonstrated that both algorithms significantly improve the delivery rate and reduce the average delivery delay compared to GF, proving they are suitable choices for network routing in CSVNs.

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